

Assetline Finance Limited (AFL) is the flagship of the Assetline cluster, the financial services arm of the David Pieris Group of Companies. AFL has its presence spread across the country catering to a diverse set of customers with their portfolio of financial solutions.



Senior Executive – Compliance

Responsibilities

- Prepare periodic compliance reports for the Board, Board appointed Sub-Committees and Senior Management.
- Conduct compliance reviews and statutory return reviews as per the Risk Based Compliance plan.
- Ensure new rules/regulations/guidelines are circulated to the relevant stakeholders and follow up on implementation.
- Liaise with the regulators, company secretary and other external/internal parties.
- Coordinate regular awareness/trainings to staff on compliance requirements.

Requirements

- A Bachelor's Degree from a recognized University in Banking/Finance/Business Management.
- Part qualification in ICASL/CIMA/CMA or equivalent professional qualification.
- Diploma in Compliance issued by the Institute of Bankers of Sri Lanka or a professional qualification in Compliance would be an added advantage.
- 03-05 years' experience in a Bank or Non Bank Financial Institution out of which minimum 02 years' experience in Compliance.
- Able to understand legal documents, directions and laws related to company operations and the financial sector.
- Ability to work independently with minimum supervision and be a team player with a performance driven & process-oriented mind set.
- Detail oriented with good analytical skills and high proficiency in Microsoft Office applications
- Excellent verbal and written communication skills in English and Sinhala.

If you feel that you meet the aforesaid expectations, apply via e-mail or post in confidence, within seven (07) days of this advertisement, providing contact details of two non-related referees and indicating the position applied for in the subject line or top left corner of the envelope.